SUBJECT: BIOMETRIC MATCHING SYSTEM REPLACEMENT

BID #: SC-0479-17 **OPEN DATE:** 05/10/2017

GENERAL GOVERNMENT AWARDS COMMITTEE

KIND AND BASIS OF CONTRACT:
SUPPLY CONTRACT – SEVEN (7) YEARS FROM DATE OF AWARD

AGENCY: SHERIFF'S OFFICE

BASIS OF AWARD: ALL OR NONE TO THE LOWEST RESPONSIVE, RESPONSIBLE BIDDER

NUMBER OF BIDS INVITED: 10 **NUMBER RECEIVED:** 1 **NO BID:** 1

SUMMARY OF BIDS AND RECOMMENDED ACTIONS:
Recommend approval to amend the award and contract to MorphoTrak, LLC, to change the name to IDEMIA Identity & Security USA, LLC. This merger is effective July 1, 2018. IDEMIA is the legal successor in interest to MorphoTrak, LLC. The attached Contract Change Order Approval Form includes the resolution to the issue 2.17 Facial Submission to FBI Workflow not being provided by the vendor as laid out by the vendor and agreed to by the Jacksonville Sheriff's Office. The not to exceed maximum indebtedness will remain the same at $3,286,705.00.

Funding for this award to be encumbered by account SHPP011ISM-06427 to be executed by Contract through the Office of General Counsel.

Attachments: Recommendation Memo; Contract Change Order Approval; Name Change Documentation; W-9; Previous Award

Analyst: Marleen Doran
MARLEEN DORAN

RESPECTFULLY SUBMITTED: Greg Pease
GREGORY PEASE, CHIEF
PROCUREMENT DIVISION

CONCURRENCE BY: **Bill Clement, Chief of Budget and Management Division**

(ALL AWARD ACTIONS SUBJECT TO LAWFULLY APPROPRIATED FUNDS)
ACTION OF GGAC COMMITTEE ON RECOMMENDATIONS ABOVE

MEMBERS APPROVING _____ MEMBERS DISAPPROVING _____ DATE: _____

_____ _____ OTHER: _____

_____ _____

_____ _____

ACTION OF AWARDING AUTHORITY DATE _____

APPROVED _____ DISAPPROVED _____

OTHER _____

SIGNATURE OF AUTHENTICATION _____

Jacksonville Sheriff's Office

Duval County, Florida

Mike Williams, Sheriff

501 E. Bay Street
Jacksonville, FL 32202

DATE: September 6, 2018

09/13/18 12:53:07
Procurement Division

TO: Gregory Pease
Chief of Procurement

THRU: Bill Clement, CPA
Chief of Budget

FROM: Tony Davis
Director of Police Services

SUBJECT: SC-0479-17 – Biometric Matching System Replacement (Contract #10341)

We are requesting an amendment to the award and contract with MorphoTrak, LLC, to change the name to IDEMIA Identity & Security USA LLC. MorphoTrak, LLC, merged into its affiliate IDEMIA Identity & Security USA LLC (IDEMIA) on July 1, 2018. IDEMIA is the legal successor in interest to MorpoTrak, LLC. We are also requesting that the contract be amended through the Office of General Counsel to change the name and to incorporate the attached Contract Change Order Approval Form to include the resolution to the issue 2.17 Facial Submission to FBI Workflow not being provided by the vendor as laid out by the vendor and agreed to by the Jacksonville Sheriff's Office. The maximum indebtedness will remain the same at $3,286,705.00.

Attached is the Contract Change Order Approval, name change documentation and their W-9.

Please let me know if you require any additional information. Thank you for your assistance.

IDEMIA
augmented identity

CONTRACT CHANGE ORDER APPROVAL

IDEMIA Identity & Security USA LLC[1]
5515 E La Palma Ave., Suite 100
Anaheim, CA 92807

Customer: Jacksonville Sheriff's Office
501 E Bay St.
Jacksonville, FL 32202

Program Manager: Robert Taylor
Address (if diff.):

Telephone No.: 253-495-2668
Fax No.:

Attention: Nancy Fraser
Address (if diff.):

Telephone No.: (253) 495-2668
Fax No.:

Change Order No.: **#001** Dated: 8-23-18 To Contract No.: **00-000990-C**

Requirement at issue: Requirement 2.17 Facial Submission to FBI Workflow, RDD-PF0129A, Version 2.1, 9/27/2017, p 82 (Enclosure 1b), mutually agreed to by Seller and Customer on 10/25/2017 (Enclosure 1a):

Facts:

1. Requirement 2.17 is subordinate to the prerequisite Assumption mutually agreed to and documented within the Requirement description namely: *"The JSO will establish an agreement with the FDLE and FBI to submit facial searches to FBI (either direct submission to FBI or pass-through the FDLE AFIS)."*
2. Customer has not obtained the prerequisite agreements with the FBI and the FDLE.
3. The fulfillment of the requirement is an impossibility in the absence of the prerequisite agreements with the FBI and the FDLE.
4. The IDEMIA Morpholdent Product is certified by the FDLE for sale and operation within the State of Florida.

Resolution:

1. IDEMIA is under no obligation to offer resolution in this matter. However, in the interests of Customer satisfaction and in recognition that the facial search capabilities of the system are diminished without the FBI search function that both parties had intended to field, IDEMIA will offer the following modifications to the agreement:
    a. IDEMIA will provide the JSO with 21 Morpholdent devices per year until FBI NGI Facial search functionality becomes available for a maximum of 7 years and 147 Morpholdent devices. Upon delivery of until FBI NGI Facial search functionality IDEMIA (MorphoTrak) will cease delivery of any more Morpholdent devices under this agreement.
    b. The retail value of the Morpholdent device is $1700 per unit with 90-day warranty. One hundred forty seven (147) Morpholdent devices will fully compensate the JSO for the value of the Morpho Face Expert product.
    c. Extended Maintenance for the Morpholdent devices is not provided in this agreement. Customer is responsible for purchasing Maintenance coverage beyond the Warranty period.

Removed:

1. Section 4.10.1, p. 46, 8th Bullet: "Facial recognition solution must support submission of searches to the FBI Increment 4 Facial Application and have the capability to display results."

IDEMIA
augmented identity

CONTRACT CHANGE ORDER APPROVAL

1. Pursuant to the Section entitled "CHANGE ORDERS" in the above-described contract, Customer hereby directs MorphoTrak to immediately adopt and implement the changes set forth on Attachment A hereto.

2. This change order is a part of and is governed by the provisions of the Contract. This Change Order is valid only if signed by IDEMIA Program Manager named in above.

3. Except as expressly modified by this Change Order, all other terms and conditions of the contract, as amended to date, remain in full force and effect.

4. This Change Order becomes binding at the time that both parties have executed the Change Order.

This document must be executed below in order to be effective.

IDEMIA Identity & Security USA LLC[1]	Jacksonville Sheriff's Office
By	By
(Robert Taylor, Sr. Program Manager)	(Typed Name and Title)
Date: August 23, 2018	Date:

[1] MorphoTrak, LLC merged into its affiliate Idemia Identity & Security USA LLC (IDEMIA) on July 1, 2018. IDEMIA is the legal successor in interest to MorphoTrak, LLC.

2.17 Facial Submission to FBI Workflow

JSO_RDD_018 **Facial Submission to FBI Workflow**

Desc The system shall support the Facial Submission to FBI.

Origin *[ITB Section 4.10]*

The Facial Submission to FBI Workflow is used to submit the facial search request (FRS) to the FBI from the Morpho Face Expert Workstation.

MTK Assumption: The JSO will establish an agreement with the FDLE and FBI to submit facial searches to FBI (either direct submission to FBI or pass-through the FDLE AFIS).

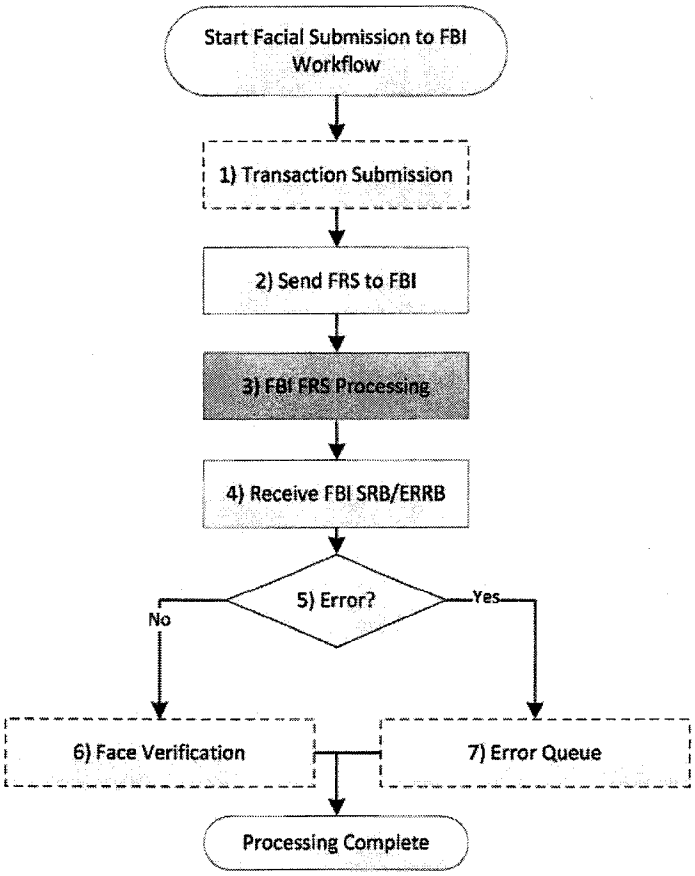

Figure 26 : Facial Submission to FBI Workflow

1) **Transaction Submission:**
   The operator at Morpho Face Expert (MFE) shall select to submit the facial search to FBI.

MorphoTrak Document – Reproduction & Distribution Prohibited

296 Concord Road
Billerica, MA, 01821, United States

[Company name]
[Recipient's name]
[Address line 1]
[Address line 2]
[Postal code/Zip code city]

[City, Date]

Subject: Merger with IDEMIA Identity & Security USA LLC

To Whom It May Concern,

As part of an internal legal reorganization to streamline our organization, MorphoTrak, LLC (the "Company") merged with and into its affiliate, IDEMIA Identity & Security USA LLC (formerly known as MorphoTrust USA, LLC) ("IDEMIA USA"), on July 1, 2018 (the "Merger"). Both the Company and IDEMIA USA are direct wholly-owned subsidiaries of the same parent company, Morpho USA, Inc.

As a consequence of the Merger, the Company will cease to exist and all of its assets, liabilities and contracts will transfer by operation of law to IDEMIA USA, such that IDEMIA USA will be the contracting entity on all agreements to which the Company is a party, including our agreement with you (the "Agreement"). IDEMIA USA acknowledges and accepts all of the Company's performance obligations under the Agreement and agrees to be bound by the terms and conditions of the Agreement.

Our priority is to ensure that this is a smooth transition for you. If you have any questions regarding this letter, please contact Terri Hirsch at 714-575-2951 or at terri.hirsch@idemia.com.

We look forward to continuing our productive relationship with you.

Regards,

MorphoTrak, LLC

By:_____
Name:
Title:

Acknowledged and agreed by:

IDEMIA Identity & Security USA LLC (formerly known as MorphoTrust USA, LLC)

By:_____
Name:
Title:

Enc: Idemia Identity & Security USA LLC's W-9
Explanatory letter for W-9
Certificate of Merger

Delaware

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"MORPHOTRAK, LLC", A DELAWARE LIMITED LIABILITY COMPANY,

WITH AND INTO "IDEMIA IDENTITY & SECURITY USA LLC" UNDER THE NAME OF "IDEMIA IDENTITY & SECURITY USA LLC", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF JUNE, A.D. 2018, AT 5:45 O`CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE FIRST DAY OF JULY, A.D. 2018 AT 12 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

Jeffrey W. Bullock, Secretary of State

2591343 8100M
SR# 20185429987

Authentication: 202983780
Date: 06-29-18

You may verify this certificate online at corp.delaware.gov/authver.shtml

State of Delaware
Secretary of State
Division of Corporations
Delivered 05:45 PM 06/28/2018
FILED 05:45 PM 06/28/2018
SR 20185429987 - File Number 2591343

CERTIFICATE OF MERGER
OF
MORPHOTRAK, LLC
(a Delaware limited liability company)
into
IDEMIA IDENTITY & SECURITY USA LLC
(a Delaware limited liability company)

Pursuant to Section 18-209 of the
Delaware Limited Liability Company Act

The undersigned limited liability company DOES HEREBY CERTIFY THAT:

1. IDEMIA Identity & Security USA LLC is a limited liability company formed under the laws of the State of Delaware (hereinafter referred to as the "Surviving LLC").

2. MorphoTrak, LLC is a limited liability company formed under the laws of the State of Delaware (hereinafter referred to as the "Merging LLC").

3. The Surviving LLC and the Merging LLC have each approved and executed an agreement of merger ("Agreement of Merger") in accordance with Section 18-209 of the Delaware Limited Liability Company Act.

4. The name of the surviving domestic limited liability company is IDEMIA Identity & Security USA LLC.

5. The executed Agreement of Merger is on file at the principal place of business of the Surviving LLC at the following address: 296 Concord Road, Suite 300, Billerica, MA 01821.

6. A copy of the Agreement of Merger will be furnished by the Surviving LLC, on request and without cost, to any member of the Surviving LLC or of the Merging LLC.

7. The filing of this Certificate of Merger, and thus the merger of the Merging LLC into the Surviving LLC, shall be effective as of 12:00 a.m., Eastern time, on July 1, 2018.

[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF, the surviving domestic limited liability company has caused this Certificate of Merger to be signed as of June 28, 2018, by a duly authorized person, declaring that the facts stated herein are true.

IDEMIA IDENTITY & SECURITY USA LLC

By: _____
Name: Lauren DiGiovine
Title: Authorized Person

4170561

IDEMIA

July 2, 2018

RE: Idemia Identity & Security USA LLC: TIN 04-3320515

To Whom It May Concern:

Regarding Idemia Identity & Security USA LLC tax payer identification number and how we must complete a W-9, please be aware of the following:

1. On July 1, 2018, MorphoTrak, LLC merged with and into its affiliate, Idemia Identity & Security USA LLC.
2. As a consequence of this merger, Idemia Identity & Security USA LLC, the surviving company, exclusively uses its TIN. MorphoTrak, LLC, the merging company, as it no longer exists, will cease to use its TIN (33-0154789), and it will be dissolved.
3. Idemia Identity & Security USA LLC is a Delaware limited liability company with TIN 04-3320515.
4. Idemia Identity & Security USA LLC is the operating company which performs all work and holds the bank accounts (thus EFT forms reference Idemia Identity & Security USA LLC TIN 04-3320515).
5. Idemia Identity & Security USA LLC is a single-member limited liability company which is owned by Morpho USA Inc. (TIN 27-4388807). Morpho USA Inc. is strictly a holding company which does not perform work nor enter into contracts directly with customers.
6. For federal tax purposes Idemia Identity & Security USA LLC is treated as a disregarded entity (thus taxes are paid at the owner level).
7. When a single member LLC is treated as a disregarded entity, based on the way the IRS has designed the W-9 form, it must complete a W-9 using the name and taxpayer id number of the owner/corporate parent. In the directions which accompany the W-9 you will see under the name section on page 2 the form makes it clear that a disregarded LLC must use the name of the owner on "Name" line and its own name in the "Business name/disregarded entity name" line: Under the Taxpayer Identification Number section on page 3, a disregarded entity must use the TIN of the owner:

> **Disregarded entity. Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported.** For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8.
>
> **Part I. Taxpayer Identification Number (TIN)**
>
> **Enter your TIN in the appropriate box.** If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.
>
> If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.
>
> **If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on this page), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.**

8. As such, Idemia Identity & Security USA LLC TIN is 04-3320515 but must complete a W-9 as attached listing the owner's TIN. Idemia Identity & Security USA LLC will not issue an invalid W-9. I urge you to contact your own tax group or the IRS to confirm if you have questions.

Sincerely,

Lauren E. DiGiovine, Legal Counsel, Asst. Secy.

Idemia Identity & Security USA LLC
296 Concord Road - Suite #300
Billerica, MA 01821

T: +1 978-215-2400
F: +1 978-215-2500
www.morphotrust.com

SUBJECT: BIOMETRIC MATCHING SYSTEM REPLACEMENT

BID #: SC-0479-17 **OPEN DATE:** 05/10/2017

GENERAL GOVERNMENT AWARDS COMMITTEE

KIND AND BASIS OF CONTRACT:
SUPPLY CONTRACT – SEVEN (7) YEARS FROM DATE OF AWARD

AGENCY: SHERIFF'S OFFICE

BASIS OF AWARD: ALL OR NONE TO THE LOWEST RESPONSIVE, RESPONSIBLE BIDDER

NUMBER OF BIDS INVITED: 10 **NUMBER RECEIVED:** 1 **NO BID:** 1

SUMMARY OF BIDS AND RECOMMENDED ACTIONS:
Recommend approval to amend the award to MorphoTrak, LLC as the lowest responsive, responsible bidder for the purchase of a Biometric Matching System Replacement for Jacksonville Sheriff's Office. Total initial expenditure not to exceed $1,671,733.00 from date of contract execution. Total estimated seven (7) year total not to exceed $3,286,705.00.

Funding for this award to be encumbered by account SHPP011ISM-06427 to be executed by Contract through the Office of General Counsel.

Attachments: Recommendation Memo; Previous Award

Analyst: STEVEN BURAKOWSKI **RESPECTFULLY SUBMITTED:** GREGORY PEASE, CHIEF PROCUREMENT DIVISION

CONCURRENCE BY: **Bill Clement, Chief of Budget and Management Division**

(ALL AWARD ACTIONS SUBJECT TO LAWFULLY APPROPRIATED FUNDS)
ACTION OF GGAC COMMITTEE ON RECOMMENDATIONS ABOVE

MEMBERS APPROVING 3 MEMBERS DISAPPROVING Ø DATE: 7/28/17

Teresa R. Eckner OTHER:

ACTION OF AWARDING AUTHORITY DATE 7/29/17

APPROVED DISAPPROVED

OTHER

SIGNATURE OF AUTHENTICATION

Sam E. Mousa
Chief Administrative Officer
For: Mayor Lenny Curry
Under Authority of:
Executive Order No. 2015-05

SUBJECT: BIOMETRIC MATCHING SYSTEM REPLACEMENT

BID #: SC-0479-17 **OPEN DATE:** 05/10/2017

GENERAL GOVERNMENT AWARDS COMMITTEE

KIND AND BASIS OF CONTRACT:
SUPPLY CONTRACT – SEVEN (7) YEARS FROM DATE OF AWARD WITH FIVE (5) ONE-YEAR RENEWAL OPTIONS

AGENCY: SHERIFF'S OFFICE

BASIS OF AWARD: ALL OR NONE TO THE LOWEST RESPONSIVE, RESPONSIBLE BIDDER

NUMBER OF BIDS INVITED: 10 **NUMBER RECEIVED:** 1 **NO BID:** 1

SUMMARY OF BIDS AND RECOMMENDED ACTIONS:
Recommend approval of award to MorphoTrak, LLC as the lowest responsive, responsible bidder for the purchase of a Biometric Matching System Replacement for Jacksonville Sheriff's Office. Total initial expenditure not to exceed $1,671,733.00 from date of contract execution. Total estimated twelve (12) year total not to exceed $4,603,775.00.

Funding for this award to be encumbered by account SHPP011ISM-06427 to be executed by Contract through the Office of General Counsel.

Attachments: Recommendation Memo; Bid Tabulation Sheet; Terms and Conditions; Specifications

Analyst: STEVEN BURAKOWSKI **RESPECTFULLY SUBMITTED:** GREGORY PEASE, CHIEF PROCUREMENT DIVISION

CONCURRENCE BY: **George Chakhtoura, Crime Analysis and Fusion Center Senior Executive**

(ALL AWARD ACTIONS SUBJECT TO LAWFULLY APPROPRIATED FUNDS)
ACTION OF GGAC COMMITTEE ON RECOMMENDATIONS ABOVE

MEMBERS APPROVING 3 MEMBERS DISAPPROVING Ø DATE: 5/18/17

OTHER:

ACTION OF AWARDING AUTHORITY DATE 5/18/17

APPROVED DISAPPROVED

OTHER

SIGNATURE OF AUTHENTICATION

Sam E. Mousa
Chief Administrative Officer
For: Mayor Lenny Curry
Under Authority of:
Executive Order No. 2015-05

Form **W-9**
(Rev. November 2017)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

▶ Go to *www.irs.gov/FormW9* for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

Print or type. See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
Morpho USA, Inc.

2 Business name/disregarded entity name, if different from above
Idemia Identity & Security USA LLC

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

☐ Individual/sole proprietor or single-member LLC ☑ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate

☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶

Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.

☐ Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any)

Exemption from FATCA reporting code (if any)

(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.
296 Concord Road, Suite 300

Requester's name and address (optional)

6 City, state, and ZIP code
Billerica, MA 01821

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number
☐☐☐ - ☐☐ - ☐☐☐☐

or

Employer identification number
2 7 - 4 3 8 8 8 0 7

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here Signature of U.S. person ▶ Date ▶ 1/9/18

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to *www.irs.gov/FormW9*.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, *later.*